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Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 4470-00613	Total Pages 19
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Named Inventor or Application Identifier Kevin S. Stein	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		Express Mail Label No. EL812733915US	
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 10] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>		7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identify of above copies</li></ul>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]		ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]<ul style="list-style-type: none"><li>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul></li></ul>		8. <input type="checkbox"/> Assignment Papers (as originally filed)	
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:		10. <input type="checkbox"/> English Translation Document (if applicable)	
18. CORRESPONDENCE ADDRESS		11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		12. <input type="checkbox"/> Preliminary Amendment	
 26753 PATENT TRADEMARK OFFICE		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
		14. <input type="checkbox"/> Small Entity Status is hereby declared.	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		16. <input type="checkbox"/> Other:	
		or <input type="checkbox"/> Correspondence address below.	

10/31/01  
11064 U.S. PAT. (0/97)

PTO/SB/17  
(0/97)

**FEE TRANSMITTAL**

**COMPLETE IF KNOWN**

Application Number	
Filing Date	10/31/01
First Named Inventor	Kevin S. Stein
Group Art Unit	
Examiner Name	
Attorney Docket Number	4470-00613

Total Amount of Payment (\$ ) 740.00

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01.2000

Deposit Account Name Andrus, Scales, Starke & Sawall, LLP

- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing Of the Notice of Allowance, 37 CFR 1.311(b)

3. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

**FEE CALCULATION (fees effective 10/01/97)**

1. Filing Fee		Large Entity		Small Entity			
Fee	Code	Fee	Code	Fee	Code	Fee	Code
101	740	201	370	Utility filing fee		\$740	
106	310	206	155	Design filing fee			
107	480	207	240	Plant filing fee			
108	790	208	395	Reissue filing fee			
114	150	214	75	Provisional filing fee			

SUBTOTAL (1) (\$ ) 740.00

2. Claims		Extra		Fee from below		Fee Paid	
Total claims 13		-20=		0 X			
Independent 2		-3=		0 X			
Claims							
Multiple Dependent				X			
Claims							
Large Entity		Small Entity		Fee		Description	
Fee	Code	Fee	Code	Fee	Code		
103	18	203	9	Claims in excess of 20			
102	78	202	39	Independent claims in excess of 3			
104	270	204	135	Multiple dependent claim			
109	82	209	41	Reissue independent claims over original patent			
110	22	210	11	Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) (\$ ) 0

**FEE CALCULATION (continued)**

2. Additional Fees		Large Entity		Small Entity		Fee Description		Fee Paid	
Fee	Code	Fee	Code	Fee	Code				
105	130	205	65	Surcharge-late filing fee or oath					
127	50	227	25	Surcharge-late provisional filing fee or cover sheet					
139	130	139	130	Non-English specification					
147	2,520	147	2,520	For filing a request for reexamination					
112	920*	112	920*	Requesting publication of SIR prior to Examiner action					
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
115	110	215	55	Extension for response within first month					
116	400	216	200	Extension for response within second month					
117	950	217	475	Extension for response within third month					
118	1,510	218	755	Extension for response within fourth month					
128	2,060	228	1,030	Extension for response within fifth month					
119	310	219	155	Notice of appeal					
120	310	220	155	Filing a brief in support of an appeal					
121	270	221	135	Request for oral hearing					
138	1,510	138	1,510	Petition to institute a public use proceeding					
140	110	240	55	Petition to revive unavoidably abandoned application					
141	1,320	241	660	Petition to revive unintentionally abandoned application					
142	1,320	242	660	Utility issue fee (or reissue)					
143	450	243	225	Design issue fee					
144	670	244	335	Plant issue fee					
122	130	122	130	Petitions to the Commissioner					
123	50	123	50	Petitions related to provisional applications					
126	240	126	240	Submission of Information Disclosure Statement					
581	40	581	40	Recording each patent assignment per property (times number of properties)					
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))					
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))					

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$ ) 0

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Type or Printed name Joseph J. Jochman, Jr.  
Andrus, Scales, Starke & Sawall, LLP

Signature *Joseph J. Jochman, Jr.*

**COMPLETE (if applicable)**

Registration Number 25,058

Date 10/31/01

Deposit Account User ID

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington D.C., 20231 on 10/31/2001. The Express Label is EL812733915US

  
Barbara A. Johnson

Oct. 31, 2001  
Date

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